

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q79566

Teiichiro UMEZAWA, et al.

Appln. No.: 10/762,574

Group Art Unit: 1773

Confirmation No.: 3033

Examiner: Kevin M. Bernatz

Filed: January 23, 2004

For: MAGNETIC DISK AND METHOD OF PRODUCING THE SAME

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/762,574

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents. Applicant also submits

herewith English language Abstracts as a concise statement of relevance for

Publication Nos. 2003-085729, 2003-296925, 2001-056924, 2002-260208.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account.

Respectfully submitted,

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: March 30, 2006

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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

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CUSTOMER NUMBER

Date: March 30, 2006

Respectfully submitted,

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Substitute for Form 1449 A & B/PTO		Complete if Known		
	=	Application Number	10/762,574	
INFORMATION DISCLOSUREP	E	Confirmation Number	3033	
STATEMENT BY APPLICANT	80	Filing Date	January 23, 2004	
	2006	First Named Inventor	Teiichiro UMEZAWA	
(use as many sheets as necessary) MAR 3	Art Unit	1773		
4	·	Examiner Name	Kevin M. Bernatz	
Sheet 1 of	\$	Attorney Docket Number	Q79566	

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number		Dublication Date			
		Number	Kind Code ² (if known)	Publication Date MM-DD-YYYÝ	Name of Patentee or Applicant of Cited Document		
		US					
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		US					
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FOREIGN PATENT DOCUMENTS							
Cite No. ¹	Foreign Patent Document		Publication Date	Name of Patentee or			
	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶	
	JP	2001-056924	Α	02-27-2001	FUJITSU LTD	Abstract	
	JP	2003-296925	A	10-17-2003	TAKAHASHI KEN, ANELVA CORP. FUJI ELECTRIC CO. LTD., ULVAC CORP., SHOWA DENKO KK	Abstract	
	JP	2003-085729	Α	03-20-2003	HOYA CORP. HOYA MAGNETICS SINGAPORE PTE L	Abstract	
	JP	2002-260208	A	09-13-2002	SHOWA DENKO KK	Abstract	
		Country Code ³ JP JP	Cite No. 1 Foreign Patent Docum Country Code 3 Number 4 JP 2001-056924 JP 2003-296925 JP 2003-085729	Cite No.¹ Foreign Patent Document Country Code³ Number⁴ Kind Code⁵ (if known) JP 2001-056924 A JP 2003-296925 A JP 2003-085729 A	Cite No.¹ Foreign Patent Document Publication Date MM-DD-YYYY Country Code³ Number⁴ Kind Code⁵ (if known) Publication Date MM-DD-YYYY JP 2001-056924 A 02-27-2001 JP 2003-296925 A 10-17-2003 JP 2003-085729 A 03-20-2003	Cite No. 1 Foreign Patent Document	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶	

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Examiner Signature			Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.